

JC20 Rec'd PCT/PTO 02 NOV 2009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: To Be Assigned
Applicants: Morio SUGITA, et al.
Filed: Herewith
Title: PUPIL DETECTION DEVICE AND IRIS AUTHENTICATION
APPARATUS (AS AMENDED)
TC/A.U.: To Be Assigned
Examiner: To Be Assigned
Confirmation No.: To Be Assigned
Docket No.: MAT-8762US

INFORMATION DISCLOSURE STATEMENT***Mail Stop PCT***

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) 2001-167252
- 2) 2002-119477
- 3) 06-138373
- 4) 2003-317102

References 1) to 4) include an English language abstract.

Reference 1) was cited by a foreign patent office in a counterpart foreign application. A copy of the Japanese language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. Also enclosed is an

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English translation of Form PCT/ISA/210 to explain the special category definitions of the Japanese language search report. MPEP Section 609 IIIA(3), second paragraph.

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,

Lawrence E. Ashery, Reg. No. 34,515
Attorney for Applicants

LEA/fp

Enclosures: PTO/SB/08a and PTO/SB/08b
Copies of (5) References
Japanese Search Report
Form PCT/ISA/210

Dated: November 2, 2005

P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

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Date of Deposit: November 2, 2005

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

KATHLEEN LIBBY

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Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

Application Number	To Be Assigned 10/555475
Filing Date	Herewith
First Named Inventor	Morio SUGITA, et al.
Art Unit	To Be Assigned 4133
Examiner Name	To Be Assigned
Attorney Docket No.	MAT-8762US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		JP 2001-167252 (with English abstract)	06/22/01	OKI ELECTRIC IND CO LTD		<input type="checkbox"/>
		JP 2002-119477 (with English abstract)	04/23/02	OKI ELECTRIC IND CO LTD		<input type="checkbox"/>
		WO 94/09446 (JP 08-504979)	04/28/94	JOHN G. DAUGMAN		<input type="checkbox"/>
		JP 06-138373 (with English abstract)	05/20/94	CANON INC		<input type="checkbox"/>
		JP 2003-317102 (with English abstract)	11/07/03	OKI ELECTRIC IND CO LTD		<input type="checkbox"/>
						<input type="checkbox"/>
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						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner
Signature

/Barry Drennan/

Date
Considered

08/19/2008

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>	Complete if Known	
	Application Number	To Be Assigned 10/555475
	Filing Date	Herewith
	First Named Inventor	Morio SUGITA, et al.
	Art Unit	To Be Assigned
	Examiner Name	To Be Assigned
	Attorney Docket No.	MAT-8762US

[illegible]

Examiner Signature	/Barry Drennan/	Date Considered	08/19/2008
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